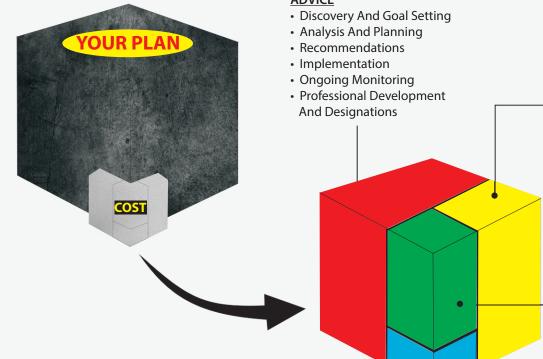
## THE COST OF ADVICE

A BREAKDOWN OF THE COSTS ASSOCIATED WITH FINANCIAL ADVICE





## **OFFICE EXPENSES**

- Office Space
- Staffing
- Information Systems
- Business Insurance

## **REGULATORY FEES**

- E&O Insurance
- Securities Registration
- Compliance And Oversight
- · Accounting, Audit And Legal

## **DEALER SERVICES**

- Account Administration
- Record Keeping
- Trade Execution
- Statements And Reporting
- Technology



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